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CASE G-970-9856F



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February 27, 2004  
Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

ASCHER ET AL.

APPLICATION NO: 10/706,768

FILED: NOVEMBER 12, 2003

FOR: ANTIBACTERIAL SUBSTITUTED 7-ACYLAMINO-3-  
(METHYLHYDRAZONO)METHYLCEPHALOSPORINS AND  
INTERMEDIATES

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450


SUBSTITUTE DECLARATION

Sir:

PCT application PCT/EP98/01890 claimed priority from three Austrian applications, two of which were not recited in the original U.S. Declaration. Enclosed is a Substitute Declaration reciting all three priority applications and a revised Application Data Sheet.

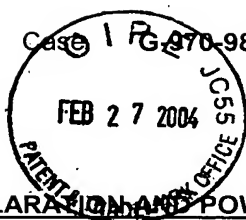
It is requested that the Substitute Declaration be entered and that the Filing Receipt refer to all three priority applications. In the event that a fee is required, the Commissioner is hereby authorized to charge said fee to Deposit Account No. 19-0134 in the name of Novartis Corporation.

Novartis  
Corporate Intellectual Property  
One Health Plaza, Building 430  
East Hanover, NJ 07936-1080

  
Gabriel Lopez  
Attorney for Applicants  
Reg. No. 28,440  
(862) 778-7882

Date: February 27, 2004

Encl:

**DECLARATION AND POWER OF ATTORNEY FOR UNITED STATES PATENT APPLICATION**☐ Original☐ Supplemental☒ Substitute

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name, and

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if more than one name is listed below) of the subject matter which is claimed and for which a United States patent is sought on the invention entitled

**ANTIBACTERIAL SUBSTITUTED 7-ACYLAMINO-3-(METHYLHYDRAZONO)METHYL-  
CEPHALOSPORINS AND INTERMEDIATES**

the specification of which:

☐ is attached hereto.

☒ was filed on 01.04.98 as Application No. 09/381758  
(day/month/year)

and, if this box (☐) contains an \*

☐ was amended on \_\_\_\_\_  
(day/month/year)

☐ was filed as Patent Cooperation Treaty international Application No.

\_\_\_\_\_ on \_\_\_\_\_  
(day/month/year)

and, if this box (☐) contains an \*

☐ entered the national stage in the United States and was accorded Application No.

\_\_\_\_\_ and, if this box (☐) contains an \*

☐ was amended, subsequent to entry into the national stage, on \_\_\_\_\_  
(day/month/year)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above and, if this application was filed as a Patent Cooperation Treaty international application, by any amendments made during the international stage (including any made under Patent Cooperation Treaty Rule 91, Article 19 and Article 34).

I acknowledge my duty to disclose all information which is known by me to be material to the patentability of this application as defined in 37 C.F.R. § 1.56.

I hereby claim the benefit under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate listed below and under 35 U.S.C. §365(a) of any Patent Cooperation Treaty international application(s) designating at least one country other than the United States listed below and have also listed below any foreign application(s) for patent or inventor's certificate and Patent Cooperation Treaty international application(s) designating at least one country other than the United States for the same subject matter and having a filing date before that of the application the priority of which is claimed for that subject matter:

COUNTRY/REGION (OR P.C.T.)	APPLICATION No.	FILING DATE (day/month/year)	PRIORITY CLAIMED	
AT	A546/97	01/04/97	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
AT	A547/97	01/04/97	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
AT	A548/97	01/04/97	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. § 119 (e) of any United States provisional application(s) listed below:

APPLICATION NO.	FILING DATE (day/month/year)
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I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s) listed below and under 35 U.S.C. §365(c) of any Patent Cooperation Treaty international application(s) designating the United States listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in said prior application(s) in the manner required by the first paragraph of 35 U.S.C. §112, I acknowledge my duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date(s) of the prior application(s) and the national or Patent Cooperation Treaty international filing date of this application:

United States Application No.	United States Filing Date (day/month/year)	Status (Pending, Abandoned or U.S. Patent No.)	International Application No. and Filing Date
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I hereby appoint the registered practitioners associated with Customer No. 001095, respectively and individually, as my attorneys and agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

If these brackets contain an X [X], I hereby authorize the registered practitioners associated with Customer No. 001095 and any others acting on my behalf to take any action relating to this application based on communications from the Patents and Trademarks Division of Novartis Services AG, Basle, Switzerland, or an affiliate thereof or a successor thereto, without direct communication from me.

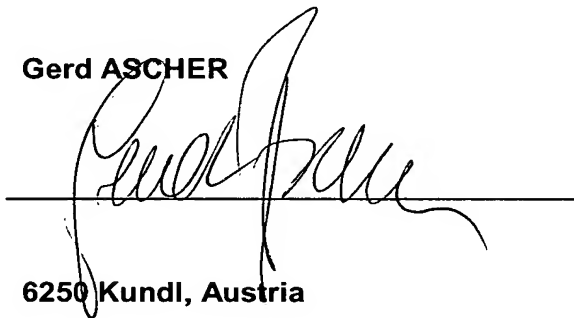
Please address all communications to Michael W. Glynn, Novartis Corporation, Patent and Trademark Department, 564 Morris Avenue, Summit, NJ 07901-1027.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of sole  
or first joint inventor

**Gerd ASCHER**

Inventor's signature

A handwritten signature in black ink, appearing to read 'Gerd Ascher', is written over a horizontal line.

Date 04-11-2003  
(day/month/year)

Residence

**6250 Kundl, Austria**

Citizenship

**Austrian**

Post Office Address

**Daxerfeld 3  
6250 Kundl  
Austria**

**IMPORTANT:** Before this declaration is signed, the patent application (the specification, the claims and this declaration) must be read and understood by each person signing it, and no changes may be made in the application after this declaration has been signed.

---

Full name of second  
joint inventor, if any

**Josef WIESER**

Inventor's signature



Date

05/11/2003  
(day/month/year)

Residence

**6403 Polling, Austria**

Citizenship

**Austrian**

Post Office Address

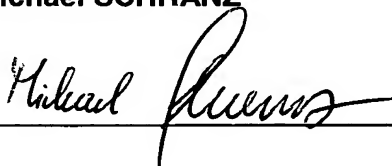
**Siegheld 92  
6403 Polling  
Austria**

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Full name of third  
joint inventor, if any

**Michael SCHRANZ**

Inventor's signature



Date

14/11/2003  
(day/month/year)

Residence

**1200 Wien, Austria**

Citizenship

**Austrian**

Post Office Address

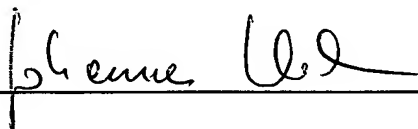
**Burghardtasse 7/18  
1200 Wien  
Austria**

---

Full name of fourth  
joint inventor, if any

**Johannes LUDESCHER**

Inventor's signature



Date

12/11/2003  
(day/month/year)

Residence

**6252 Breitenbach, Austria**

Citizenship

**Austrian**

Post Office Address

**Kleinsoell 101  
6252 Breitenbach  
Austria**

---

Full name of fifth  
joint inventor, if any

**Johannes HILDEBRANDT**

Inventor's signature



Date

05.11.2003  
(day/month/year)

Residence

**2512 Oeynhausen, Austria**

Citizenship

**German**

Post Office Address

**St. Laurentgasse 12  
2512 Oeynhausen  
Austria**

---

Full name of sixth  
joint inventor, if any

Inventor's signature

\_\_\_\_\_

Date

\_\_\_\_\_  
(day/month/year)

Residence

Citizenship

Post Office Address

---

Full name of seventh  
joint inventor, if any

Inventor's signature

\_\_\_\_\_

Date

\_\_\_\_\_  
(day/month/year)

Residence

Citizenship

Post Office Address